



16310 U.S. PTO

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PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
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COMMERCE

| | | |
|---------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|--|
| UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)) | Attorney Docket No. 57294.019 | |
| | First Inventor Roger K. Cady | |
| | Title Method and Article for Treatment of Sensory Neuron Related Disorders through Transdermal Application of Botulinum Toxin | |
| | Express Mail No. EV332072245US | |

22857 U.S. PTO
107808008

032404

| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | | MAIL STOP PATENT APPLICATION ADDRESS TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|
| <p>1. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages 10] (preferred arrangement set forth below) <input checked="" type="checkbox"/> Descriptive title of the invention <input type="checkbox"/> Cross Reference to Related Applications <input checked="" type="checkbox"/> Statement Regarding Fed sponsored R & D <input type="checkbox"/> Reference to sequence listing, a table, or a computer program listing appendix <input checked="" type="checkbox"/> Background of the Invention <input checked="" type="checkbox"/> Brief Summary of the Invention <input checked="" type="checkbox"/> Brief Description of the Drawings (if filed) <input checked="" type="checkbox"/> Detailed Description <input checked="" type="checkbox"/> Claim(s) <input checked="" type="checkbox"/> Abstract of the Disclosure</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 1]</p> <p>4. Oath or Declaration [Total Pages] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Unexecuted c. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 17 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> | | <p>5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>ACCOMPANYING APPLICATION PARTS</p> <p>7. <input type="checkbox"/> Assignment and Recordation Form Cover Sheet</p> <p>8. <input type="checkbox"/> 37 CFR 3.73(b) Statement</p> <p>9. <input checked="" type="checkbox"/> Power of Attorney (unexecuted)</p> <p>10. <input type="checkbox"/> Transmittal of Information Disclosure Statement, Form 1449 and References</p> <p>11. <input type="checkbox"/> Preliminary Amendment</p> <p>12. <input type="checkbox"/> Application Data Sheet</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard</p> <p>14. <input checked="" type="checkbox"/> Certificate of Express Mailing</p> <p>15. <input type="checkbox"/> English Translation Document</p> <p>16. <input type="checkbox"/> Certified Copy of Priority Document</p> <p>17. <input type="checkbox"/> Non Publication Request under 35 U.S.C. 122</p> <p>18. <input checked="" type="checkbox"/> Check</p> <p>19. <input type="checkbox"/> Other: _____</p> | |
| <p>17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____</p> <p>Prior application information: Examiner _____ Group Art Unit: _____</p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p> | | | |
| <p>18. CORRESPONDENCE ADDRESS</p> <p><input checked="" type="checkbox"/> Customer Number or Bar Code Label 029493 (Insert Customer No. or Attach bar code label here) or <input type="checkbox"/> Correspondence address below</p> | | | |
| Name | H. Frederick Rusche, Reg. No. 45,061 | | |
| Address | Husch & Eppenberger, LLC 190 Carondelet Plaza | | |
| City | St. Louis | State | MO |
| Country | U.S.A. | Telephone | 314-480-1500 |
| | | Fax | 314-480-1505 |

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(08-00)

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PATENT APPLICATION FEE DETERMINATION RECORD

Application or Docket Number
57294.019

| CLAIMS AS FILED- PART I | | | | | | | |
|--------------------------------------------------------------------------|---------------|--------------|--------------|--------------|----|--------------|-------------------------|
| (Column 1) | | (Column 2) | | SMALL ENTITY | | OR | OTHER THAN SMALL ENTITY |
| FOR | NUMBER FILED | NUMBER EXTRA | RATE | FEE | | | RATE |
| BASIC FEE (37 CFR 1.16(a)) | | | | \$385.00 | | | \$770.00 |
| TOTAL CLAIMS (37 CFR 1.16(c)) | 16 minus 20 = | 0 | x \$9.00 = | \$ 0.00 | OR | x \$18.00 = | \$ 00.00 |
| INDEPENDENT CLAIMS (37 CFR 1.16(b)) | 5 minus 3 = | 2 | x \$43.00 = | \$86.00 | OR | x \$86.00 = | 0000 |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d)) | | | + \$145.00 = | | OR | + \$290.00 = | |
| * If the difference in column 1 is less than zero, enter "0" in column 2 | | | TOTAL | \$ 471.00 | OR | TOTAL | \$ 770.00 |

- ☒ Check No. 402218 in the amount of \$471.00 is attached.
- ☐ The Commissioner is hereby authorized to charge and credit Deposit Account No. 08-3460 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \$
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.


Signature

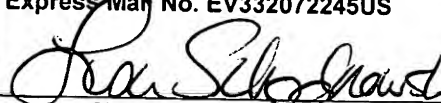
Dated: March 24, 2004

H. Frederick Rusche, Reg. No. 45,061
Husch & Eppenberger, LLC
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314-480-1500
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Customer No. 029493

I certify that the document and fee is being deposited on March 24, 2004 with the U.S. Postal Service as Express Mail under 37 C.F.R. 1.10 and is addressed to the MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail No. EV332072245US



Signature of Person Mailing Correspondence

Lisa Schodrowski

Typed or Printed Name of Person Mailing Correspondence

EXPRESS MAIL CERTIFICATE OF MAILING (37 CFR 1.10)

Express Mail No.: EV332072245YUS

Docket No.

57294-019

Applicant(s):

Cady, Roger K.

Serial No.

New

Filing Date

Herewith

Examiner

TBD

Group Art

TBD

Title:

Method and Article for Treatment of Sensory Neuron Related Disorders through Transdermal Application of Botulinum Toxin Botulinum ToxinTO THE COMMISSIONER OF PATENTS AND TRADEMARKS

Transmitted herewith is:

- ☒ UTILITY PATENT APPLICATION TRANSMITTAL (with fee Record)
- ☒ Specification (10 pgs)
- ☒ Drawing (1 pg) (informal)
- ☒ Declaration (unexecuted)
- ☒ Power of Attorney (unexecuted)
- ☒ Check No. 402218 in the amount of \$471.00
- ☒ Postcard

H. F. Rusche 3/21/04
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Lisa Schodrowski
Signature of Person Mailing Correspondence

Lisa Schodrowski

Typed or Printed Name of Person Mailing Correspondence

P16B/REV01